## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Patent Application

		ratent Application	<u>1</u>	
I hereby certify t envelope bearing Patents and Trad	hat this transmittal of the below g Express Mail Postage and an demarks, Washington, D.C., 202	described documents is being Express Mail label, with the be 31, on the below date of depos	deposited with the United States Postal Service low serial number, addressed to the Commission it.	e in an oner of
Express Mail Label No.:	EV066931421US	Name of Person Making the Deposit:	Anthony Chou	0
Date of Deposit:	02/27/02	Signature of the Person Making the Deposit:	Tathonk (1	
Inventor(s):	Masaaki HIGAS	HITANI and Mark F	RANDOLPH	
Title:	NROM CELL WIT	H N-LESS CHANNEL	,	
	ssioner of Patents and <sup>-</sup> , D.C. 20231	Trademarks		
	<u>Tra</u>	nsmittal of a Patent Ap Under 37 CFR §1.		
X Specific Formal Information X Declaration Form 1 X Assign X Assign	al drawings, totaling ation and Power of Atto ation Disclosure statem 449	pages.  26 pages. rney. ent. (duplicate)	pages.	
	An	nendments, Priority	/ Claim	
35		in	filed on is claimed under orior U.S. application Serial No.	·····
"Thi	U.S.C. 120, 121 as application is a continon number onal Application	uation of and claims the	e benefit of copending application(s ed on ed on	)

1 of 3

and which designated in the U.S."

Amend this specification by inserting,	before the first line, the following sentence	<b>)</b> :						
"This application claims priority to the copending application(s)								
Serial Number	filed on							
which is hereby incorporated by reference to this specification								
International Application	filed on							
which designated the U.S."								

## FEES DUE

The fees due for filing the specification pursuant to 37 C.F.R. § 1.16 and for recording of the Assignment, if any, are determined as follows:

CLAIMS ,								
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEES			
Basic Application Fee					\$740.00			
Total Claims	32	Minus 20=	12	X \$18 =	\$216.00			
Independent Claims	2	Minus 3=	0	X \$84=	\$0.00			
If multiple depe	\$0.00							
Add Assignment Recording Fee of \$40.00 If Assignment document is enclosed					\$40.00			
TOTAL APPLICATION FEE DUE					\$996.00			

## **PAYMENT OF FEES**

The full fee due in connection with this communication is provided as follows:

- 1. Not enclosed
  - [ ] No filing fee is to be paid at this time.
- 2. Enclosed
  - [X] Filing fee
  - [X] Recording assignment
  - [ ] Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached
  - [ ] For processing an application with specification in a non-English language
  - [ ] Processing and retention fee

Attorney Docket No.: AF01047

- [ ] Fee for international-type search report
- [ X ] The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085. A duplicate copy of this authorization is enclosed.
- [X] A check in the amount of \$996.00
- [ ] Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

This application is filed pursuant to 37 C.F.R. § 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following address:

WAGNER, MURABITO & HAO LLP Two North Market Street, Third Floor San Jose, California 95113 (408) 938-9060

[X] This transmittal ends with this page.

Respectfully submitted,

Date:  $\frac{2}{21/02}$ 

James P. Hao Reg. No. 36,398 Inventor(s):

Masaaki HIGASHITANI and Mark RANDOLPH

Title:

NROM CELL WITH N-LESS CHANNEL

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date: 2/27/02

James P. Hao Reg. No. 36,398

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).